CO STENT & TRIBLE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

10/734,869

Applicant:

WEST et al

Filed:

December 12, 2003

Title:

ANTIHALATION DYE FOR NEGATIVE-WORKING PRINTING PLATES

TC/A.U.: Examiner:

Unknown Unknown

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith. Copies of each of the listed references, excluding the U.S. references, are also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed within three months of the filing date of the above-referenced application or before mailing of the first Official Action. No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,

/Bruce M. Monroe, Reg. No. 33,602

Attorney for Applicant

BMM:eaa

Enclosures: PTO Form 1449

(5) References Transmittal Form

Dated: February 17, 2004

P.O. Box 1596 Wilmington, DE 19899 (302) 778-2500

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:



INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 1 of 2

Complete if Known			
Application Number	10/734,869		
Filing Date	December 12, 2003		
First Named Inventor	WEST		
Art Unit	Unknown		
Examiner Name	Unknown		
Attorney Docket No.	KPG-5097US		

	U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No.1	Document Number Number - Kind Code ^{2 (if known)}	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-5,234,990	8/10/1993	FLAIM et al	
		US-5,543,262	8/6/1996	SYPEK et al	
		US-5,861,415	1/19/1999	MAJEED et al	
		US-6,528,235	3/4/2003	THACKERAY et al	
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FOREIGN PATENT DOCUMENTS						
Evaminan	C:t-	Foreign Patent Document		Name of Patentee or	Pages, Columns, Lines, Where Relevant	
Examiner Cite Initials* No.	No.1	Country Code ³ - Number ⁴ - Kind Code ^{5 (If known)}	Publication Date (MM-DD-YYYY)	Applicant of Cited Document	Passages or Relevant Figures Appear	T ⁶
		EP 0233056 B1	12/15/1993			
		EP 0780730 B1 /	8/21/2002			
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Examiner	Date	
	Date	
Signature	Considered	
	Considered	

Applicant's unique citation designation number (optional).

See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

For Japanese patent documents, the indication of the reign of the reign of the Emperor must precede the serial number of the patent document.

Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

*Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed applications form to the USPTO. Time will vary depending upon the individual case. Any comments are the appointed this form and/or suggestions for reducing this hurden, should be sent to the Chief Information Office, U.S. Patent on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

Complete if Known Substitute for Form 1449B/PTO 10/734,869 Application Number INFORMATION DISCLOSURE December 12, 2003 Filing Date STATEMENT BY APPLICANT First Named Inventor WEST (Use as many sheets as necessary) Unknown Art Unit

Examiner Name

Attorney Docket No.

Unknown KPG-5097US

SHEET 2 of 2

		NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		PLAT et al; Formulation and Modeling of Dyed Positive I-line Resist for Control of the Reflective Notching and CD Variation; Proceedings of SPIE - The International Society for Optical Engineering; 1995; pgs. 272-80; Vol. 2438	
		CERNIGLIARO et al; Dissolution Rate Modifying Chemistry: Interaction of Base-soluble and Base-insoluble Non-actinic Dyes with Novolak Polymers and Novolak-based Positive Photoresists; Proceedings of SPIE - The International Society for Optical Engineering; 1989; pgs. 106-16; Vol. 1086	
		RENSCHLER et al; Curcumin as a Positive Resist Dye Optimized for g- and h- Line Exposure; Journal of the Electrochemical Society; 1987; pgs. 1586-7; Vol. 134, No. 6	
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Examiner	T		
Signature	1	Date	

Applicant's unique citation designation number (optional).

¹Applicant's unique citation designation number (optional).

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